

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy C. WILLIAMS

Serial No.: 09/129,879

Group Art Unit: 3642

Filed: August 6, 1998

For: MULTI-LEVEL SECURITY NETWORK SYSTEM

JC979 U.S. PTO  
09/933760INFORMATION DISCLOSURE STATEMENTAssistant Commissioner of  
Patents  
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

Please note that only the first page of Patent No. 5,603,031, listed as item AD on the PTO-1449 attached hereto, is enclosed due to its size. Should the Examiner need a full copy of this patent, please advise.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,  
JACOBSON, PRICE, HOLMAN & STERN

By:

  
Michael R. Slobasky  
Registration No. 26,421400 Seventh Street, N.W.  
Washington, DC 20004  
(202) 638-6666  
Atty. Dkt. No.: P62141US0  
Date: October 29, 1998  
MRS:PSW:cwm  
F:\HOME\CMOORE\PSW\UQ989-98\P62141.IDS

FORM PTO-892		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. <b>09/129879</b>	GROUP ART UNIT <b>2132</b>	ATTACHMENT TO PAPER NO.	U.S. PTO 09/933760 08/22/01
<b>NOTICE OF REFERENCES CITED</b>				APPLICANT(S)  <div style="text-align: center; font-weight: bold;">Williams</div>			
U.S. PATENT DOCUMENTS							
*		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	A	5,263,147	11/1993	Francisco et al.	711	164	
	B	6,081,848	6/2000	Grun et al.	710	1	
	C	5,787,310	7/1998	Shimizu et al	710	42	
	D	5,818,939	10/1998	Davis	380	49	
	E	5,822,784	10/1998	Garney	711	208	
	F	5,860,021	1/1999	Klingman	712	32	
	G	5,765,036	6/1998	Lim	711	147	
	H						
	I						
	J						
	K						
FOREIGN PATENT DOCUMENTS							
*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	L						
	M						
	N						
	O						
	P						
	Q						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	R						
	S						
	T						
	U						
EXAMINER			DATE				
Steve Kabakoff			April 22, 2001				
Form892ccs2106b							
* A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05(a).)							

#5

K

12/10/03

# **Notice of References Cited**

**Application/Control No.**

09/129,879

**Examiner**

Steve Kabakoff

**Applicant(s)/Patent Under**

**Reexamination**

WILLIAMS, TIMOTHY C TO

**Art Unit**

2767

**Pages**

79 Uses

09/129,879/933760

**DOC SOURCE**

APS

**OTHER**

08/22/01

## **U.S. PATENT DOCUMENTS**

* <input type="checkbox"/>		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	DOC SOURCE	OTHER
<input type="checkbox"/>	A	5297255	Mar. 1994	Hamanaka et al	712	14	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	B	4987595	Jan. 1991	Marino Jr, et al	713	164	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	C						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	D						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	E						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	F						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	G						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	H						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	I						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	J						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	K						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	L						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	M						<input type="checkbox"/>	<input type="checkbox"/>

## **FOREIGN PATENT DOCUMENTS**

* <input type="checkbox"/>		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	DOCUMENT SOURCE **	OTHER
<input type="checkbox"/>	N							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	O							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	P							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Q							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	R							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	S							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	T							<input type="checkbox"/>	<input type="checkbox"/>

## **NON-PATENT DOCUMENTS**

* <input type="checkbox"/>		DOCUMENT (Including Author, Title Date, Source, and Pertinent Pages)	DOCUMENT SOURCE **	OTHER
<input type="checkbox"/>	U		<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	V		<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	W		<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	X		<input type="checkbox"/>	<input type="checkbox"/>

\*A copy of this reference is not being furnished with this Office action. (See Manual of Patent Examining Procedure, Section 707.05(a).)

\*\*APS encompasses any electronic search i.e. text, image, and Commercial Databases.

U.S. Patent and Trademark Office

PTO-892 (Rev. 03-98)

**Notice of References Cited**

Part of Paper No. 9

# **Notice of References Cited**

Application/Control No.

09/129,879

Examiner

Steve Kabakoff

Applicant(s)/Patent Under

Reexamination

WILLIAMS, TIMOTHY C.

Art Unit

2767

Page 1 of

## **U.S. PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	DOCUMENT SOURCE **	
							APS	OTHER
<input type="checkbox"/>	A	5459851	Oct. 1995	Nakajima et al	395	476	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	B	5548721	Aug. 1996	Denslow	395	187.01	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	C	4845717	Jul. 1989	Iijima	371	60	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	D	5822435	Oct. 1998	Boebert et al	380	49	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	E	4797928	Jan. 1989	Dykes	380	49	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	F	5802178	Sep. 1998	Holden et al	380	49	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	G	5155829	Oct. 1992	Koo	395	425	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	H						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	I						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	J						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	K						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	L						<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	M						<input type="checkbox"/>	<input type="checkbox"/>

## **FOREIGN PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	DOCUMENT SOURCE **	
								APS	OTHER
<input type="checkbox"/>	N							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	O							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	P							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Q							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	R							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	S							<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	T							<input type="checkbox"/>	<input type="checkbox"/>

## **NON-PATENT DOCUMENTS**

*		DOCUMENT (Including Author, Title Date, Source, and Pertinent Pages)	DOCUMENT SOURCE **	
			APS	OTHER
<input type="checkbox"/>	U	Network Security Laboratories, Inc., "Technical Overview of Cryptek's DiamondTEK Ultra," <a href="http://www.cryptek.com/Dtekultrd.html">http://www.cryptek.com/Dtekultrd.html</a> [internet], Feb. 1998	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	V	DiamondTEK Brochure On-Line, <a href="http://www.cryptek.com/diamond.html">http://www.cryptek.com/diamond.html</a> [internet], Feb 2000	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	W	DiamondNIC Trademark information, <a href="http://trademarks.uspto.gov">http://trademarks.uspto.gov</a> [internet]	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	X	LAN Magazine 8/97, <a href="http://www.hackzone.ru/nsp/info/misc/osp/o_r_books.html">http://www.hackzone.ru/nsp/info/misc/osp/o_r_books.html</a> [internet], Aug. 1997	<input type="checkbox"/>	<input type="checkbox"/>

\*A copy of this reference is not being furnished with this Office action. (See Manual of Patent Examining Procedure, Section 707.05(a).)

\*\*APS encompasses any electronic search i.e. text, image, and Commercial Databases.

U.S. Patent and Trademark Office

PTO-892 (Rev. 03-98)

Notice of References Cited

Part of Paper No. 4

#5

U.S. PTO  
09/933760

08/22/01

12/10/03

12/10/03